

Form 210A (10/06)

United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors, Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

UBS AG, Zurich / Switzerland

Bank Vontobel AG, Zurich / Switzerland

Name of Transferee

Name of Transferor

Name and Address where notices to transferee should be sent:

Court Claim # (if known): 67373

Date Claim Filed: not known

Amount of Claim: to be determined

Portion of Claim Transferred (see Schedule I): _____

Phone: _____

Phone: +41 58 283 56 50

Last Four Digits of Acct #: _____

Last Four Digits of Acct #: _____

Name and Address where transferee payments should be sent (if different from above):

Phone: _____

Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____
Transferee/Transferee's Agent

Date: December 10, 2020

Penalty for making a false statement Fine of up to \$500,000 or imprisonment for up to 5 years, or both 18 U.S.C. §§ 152 & 3571

Bank Vontobel AG
Gotthardstrasse 43
CH-8022 Zürich

Two handwritten signatures are present. The first signature, "J. Scherzer", is written in cursive across the bottom of the address block. The second signature, "M. J. O'Brien", is written in a stylized, blocky font to the right of the address.

EVIDENCE OF (PARTIAL) TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **BANK VONTobel AG** ("Transferor") unconditionally and irrevocably transferred to **UBS AG**, ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 67373**) **SEVERAL** in nominal value related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON October 21, 2010.

Bank Vontobel AG

By: T. Scherzmann

Name: Thomas Scherzmann
Title: Director

By: A. Kaser

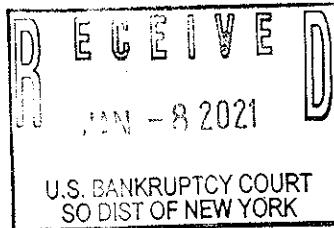
Name: Anja Kaser
Title: Authorized Officer

SCHEDULE I

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim related to Security
CH0034783636	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 13,380.64
XS0234972981	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 44,966.18
XS0258396927	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 370,197.71
XS0336037204	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 10,446.30
CH0036891395	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 28,742.55
XS0336633150	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 10,697.54
XS0299386101	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 17,840.85
CH0027120887	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 15,026.63
XS0187966949	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 111,333.56
SPLITTING	FROM	TOTAL ALLOW		AMOUNT USD 263,152.06

Vontobel



United States Bankruptcy Court
Southern District of New York
One Bowling Green
New York, NY 10004-1408
United States

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CHE-116.300.767 MWST (VAT)

1/1 Zurich, December 10, 2020

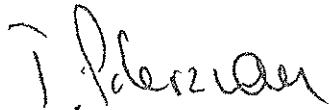
Evidence of Transfer of Claim

Dear Sir or Madam

Enclosed please find one form "Evidence of Transfer of Claim" with 9 positions with one for splitting.
Please note, that the mentioned Lehman Products are deposited in our bank.
Our claim number is: 67373
If you have any questions, don't hesitate to contact us.

Best regards

Bank Vontobel AG



Thomas Schwerzmann
Director



Anja Kaser
Authorized Officer